

# Dilemma Zone Driver Behavior as a Function of Vehicle Type, Time of Day, and Platooning

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**A comprehensive investigation of the influence of vehicle type on various aspects of dilemma zone driver behavior, including brake response time, deceleration rate, and red light running occurrence, was performed at six urban or suburban signalized intersections in Wisconsin. Driver behavior data were obtained for 1,275 vehicles that were between 2.0 and 6.5 s upstream of the intersection at the onset of the yellow interval. Each vehicular observation was classified into one of five vehicle type categories: motorcycle, car, light truck (pickup, SUV, van, minivan), single-unit truck (single-unit heavy truck, delivery truck, recreation vehicle, bus), and tractor trailer (multiunit heavy truck). Each observation was also classified by time of day and whether the subject vehicle was part of a platoon. Vehicle type had a statistically significant effect on deceleration rate and red light running occurrence but did not have an effect on brake response time. Deceleration rates were highest for cars and light trucks; single-unit trucks and tractor trailers showed the lowest deceleration rates. Tractor trailers were 3.6 times more likely and single-unit trucks were 2.5 times more likely to commit red light running compared with passenger vehicles. The rates of red light running for cars and light trucks were not substantially different from each other. Time of day (peak versus off-peak) had a statistically significant effect on both deceleration rate and occurrence of red light running. Deceleration rates were significantly higher during off-peak times. Red light running was 1.3 times more likely to occur during peak periods compared with off-peak periods. Platooning had no effect on any of the measures of effectiveness.**

When a traffic signal changes from a green indication to a yellow indication, approaching drivers often face the dilemma of whether to stop or proceed through the intersection. The term “dilemma zone” or, more appropriately, “indecision zone” is often used to describe this occurrence (1). A detailed literature review by Bonneson et al. found that typically the dilemma zone exists between 2.5 and 5.5 s upstream of the intersection at the start of the yellow interval (2), which is often assumed to represent the threshold between which approximately 10% and 90% of drivers stop in response to the yellow (3). Throughout this paper, the term “dilemma zone” will be used to represent the “indecision zone” situation as it is the more familiar term.

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Previous research during the past several decades has investigated various characteristics of driver behavior in the dilemma zone (1–13), including brake response times, deceleration rates, the probability of stopping versus going through, and red light running. However, the published literature shows relatively little investigation into the differences in dilemma zone driver behavior as a function of the type of vehicle. The only relevant analyses that were found in the literature were limited to comparison of passenger vehicles versus heavy trucks. Zegeer and Deen found that heavy trucks committed red light running at more than twice the rate of passenger vehicles (3). A similar result was reported by Bonneson et al., who found heavy trucks to be more than twice as likely to commit red light running compared with passenger vehicles (13). Schultz performed an extensive literature review on nonemergency deceleration rates for passenger vehicles versus heavy trucks (14). Non-emergency deceleration rates for passenger vehicles fell within a range of 7 ft/s<sup>2</sup> and 12 ft/s<sup>2</sup>. Truck deceleration rates were found to be slightly lower than those of passenger cars, particularly for trucks not equipped with antilock brakes, which showed deceleration rates for nonemergency stopping between 5 ft/s<sup>2</sup> and 9 ft/s<sup>2</sup>. Trucks with antilock brakes decelerated between 10 and 11.6 ft/s<sup>2</sup>—similar to the middle range of values observed for passenger vehicles.

Previous work by Gates et al. provided a comprehensive investigation of dilemma zone driver behavior, including differences between passenger vehicles and heavy vehicles (i.e., buses, recreational vehicles, single-unit trucks, and semitrailers) (12). Heavy vehicles were found to be less likely to stop when presented with a yellow indication and were more likely to commit red light running. Heavy vehicles in the dilemma zone were also found to use a lower deceleration rate when stopping and a shorter brake response time. Although the Gates et al. work provided a comprehensive analysis of dilemma zone driver behavior, because of a limited sample size for heavy vehicles, it did not provide a complete analysis of the effects of vehicle type, beyond that of passenger vehicles versus heavy vehicles. Recent expansion of the data set used by Gates et al. allowed for a comprehensive investigation of vehicle type to be performed, the results of which are described here.

## GOAL AND OBJECTIVES

The primary goal of this study was to provide a comprehensive investigation of the influence of vehicle type on various aspects of dilemma zone driver behavior. The specific behavioral characteristics for dilemma zone drivers that were of interest included

- Brake response time,
- Deceleration rate, and
- Occurrence of red light running.

Therefore, the study objectives were to determine the differences in brake response time and deceleration rate and to predict the likelihood of a red light running event as a function of vehicle type. The effects of other factors, including time of day (proxied by peak versus off-peak times) and whether the subject vehicle was part of a platoon of vehicles (defined by a headway or tailway of 2.0 s or less), were also investigated.

## METHODOLOGY

### Field Procedures

A field study was performed at six signalized intersection approaches in greater Madison, Wisconsin. The characteristics of each study site are included in Table 1. Data were collected using a video camera mounted on a 20-ft-tall steel pole that was temporarily attached to a roadside signpost that was between 400 and 800 ft upstream of the intersection. The camera was aimed toward the intersection so that the rear of vehicles could be viewed while approaching the intersection on the subject approach. During installation it was also ensured that the camera obtained a clear view of the entire intersection including the traffic signal indication. Data were collected only during dry conditions and daylight hours to prevent damage or vandalism to the video camera. Full details of the field study procedures are described in a recent publication by Gates et al. (12).

### Video Review and Data Extraction

Approximately 43 h of video were obtained during data collection activities. The researchers reviewed the video data and recorded several attributes related to the behavior of all dilemma zone vehicles that were either the last vehicle to go through or the first vehicle to stop in each lane for each signal cycle. A vehicle was considered in the dilemma zone if the front of the vehicle was between 2.0 and 6.5 s upstream of the intersection at the start of the yellow, determined on the basis of the subject vehicle’s approach speed and distance from the

intersection stop line. This time range represented a dilemma zone that was slightly larger than that cited in a detailed literature review by Bonneson et al. (2), which was deemed necessary to capture dilemma zone behavior for all vehicle types. Red light running vehicles that were more than 6.5 s upstream at the start of the yellow were also included in the sample. Similarly, stopping vehicles that were closer than 2.0 s from the intersection at the start of yellow were also included in the data set. Only one red light running event that was more than 6.5 s away from the intersection was observed. The following information was obtained from the video for each subject vehicle included in the sample:

- Time to traverse the initial 50 ft (for speed computation);
- Position and time at the onset of yellow;
- Position and time after the onset of yellow when the brake light became illuminated (stopping vehicles only);
- Time required for the vehicle to stop after the brake lights became illuminated (stopping vehicles only);
- Time elapsed from the onset of yellow until entry into the intersection (go through vehicles only);
- Action of the vehicle:
  - Stopped,
  - Went through but entered the intersection before the end of the yellow, or
  - Went through but entered the intersection after the end of the yellow (i.e., red light running);
- Time of day:
  - Peak (7–9 a.m. or 4–6 p.m.) or
  - Off-peak (all other observation times);
- Platooning:
  - Platooned (headway or tailway less than or equal to 2 s) or
  - Not platooned (both headway and tailway greater than 2 s);
- Vehicle type:
  - Motorcycle,
  - Passenger car,
  - SUV,
  - Pickup,
  - Minivan,
  - Van,
  - Bus,
  - Recreational vehicle (RV),

TABLE 1 Site Characteristic

Characteristic	Johnson at Park	Verona at Raymond	Verona at McKee	John Nolen at Lakeside	Fish Hatchery at Caddis	East Washington at Baldwin
Subject approach	Eastbound	Northbound	Northbound	Southbound	Southbound	Westbound
Speed limit (mph)	25	40	50	45	40	35
Approach grade (%)	0.0	−0.3	1.1	−0.7	1.9	−0.7
Cycle length (s)	110 (peak) 80 (off-peak)	Variable	Variable	Variable	Variable	80
Yellow duration (s)	3.5	4.5	5.0	4.0	4.0	3.5
All-red time (s)	3.0	1.75	2.0	1.5	1.0	1.0
Intersection width (ft)	90	90	125	80	90	70
Signal actuation	Pretimed	Fully actuated	Fully actuated	Fully actuated	Fully actuated	Pretimed
Signal coordination <sup>a</sup>	C	U	U	U	U	C
Area type	Urban	Suburban	Suburban	Suburban	Suburban	Urban

<sup>a</sup>C = coordinated and U = uncoordinated.

- Single-unit truck, or
- Tractor trailer.

Vehicular observations were excluded from the analysis for any of the following reasons:

- Turned right or left at the intersection,
- Braked before the onset of yellow (stopping vehicles only), and
- Presence of a queue on the subject approach.

For purposes of this study, red light running events were defined as cases in which the front of the vehicle did not reach the intersection stop line by the onset of the red indication.

### Data Reduction and Coding

The raw time and positioning information obtained for each subject vehicle were used to compute approach speeds, estimated travel time to the intersection stop line at the onset of yellow, brake response times, and deceleration rates for each vehicle. Approach speeds (ft/s) were calculated using the vehicle's time to traverse the initial 50 ft of the intersection approach. The estimated travel time to the intersection at the onset of yellow was calculated by dividing the subject vehicle's distance from the stop line at the onset of yellow by its approach speed. Brake response times were computed as the difference between the time at start of yellow and the time when the brake lights became illuminated. The occurrence of driver "coasting" (i.e., removing foot from accelerator and not immediately applying the brake) could not be quantified from review of the video. The average deceleration rate was computed for each vehicle on the basis of the approach speed and braking time. Braking time was computed as the difference between the time that the brake lights became illuminated and the time that the vehicle had stopped. The following formula was used to compute the average deceleration rate:

$$\text{decel rate (ft/s}^2\text{)} = \frac{\text{approach speed}}{\text{braking time}} \quad (1)$$

Vehicle type was initially classified into several specific categories for each type of vehicle. However, small sample sizes for several of the heavy-vehicle categories coupled with the impracticality of dis-

cerning between certain types of vehicles, such as some SUVs, minivans, and station wagons, led the researchers to consolidate the vehicle type variable into five categories for analysis, which included

- Motorcycle,
- Car,
- Light truck (pickups, SUVs, vans, minivans),
- Single-unit truck (all single-unit heavy trucks, delivery trucks, RVs, buses), and
- Tractor trailer (all multiunit heavy trucks).

### Data Classifications and Distributions

The data set included records for 1,275 dilemma zone vehicles. A cross tabulation of the vehicular observations separated by action and vehicle type is shown in Table 2.

Table 2 shows that 52.9% of the observed dilemma zone vehicles were passenger cars, and 38.5% of the observations were categorized as light trucks. Single-unit trucks and tractor trailers made up 5.0% and 3.1% of the observations, respectively, and motorcycles made up only 0.5% of the observations. For comparison purposes, recent data for vehicle miles traveled (vmt) on urban non-Interstates were obtained from the FHWA website. FHWA data show that cars accounted for 57.8% of the vmt; light trucks, 37.3%; single-unit trucks and buses, 2.5%; tractor trailers, 2.0%; and motorcycles, 0.4% (15). Thus, compared with FHWA proportions, cars were slightly underrepresented in the data set, and heavy vehicles were slightly overrepresented. Stopping vehicles and vehicles entering the intersection before the start of red accounted for 47.2% and 46.4% of the observations, respectively; red light running vehicles accounted for the remaining 6.4% of the observations. Red light running occurred at a rate of approximately 1.9 events per hour, which was lower than the 4.9 events per hour observed by Bonneson et al. at 10 Texas intersections (13). Red light running was considered only for through vehicles for the study described here.

For 29 of the 602 first-to-stop vehicles, brake response times and deceleration rates could not be discerned from the videotapes because of obstruction by other vehicles, reflections, or other visibility issues. Furthermore, because only one stopping motorcycle was observed, motorcycle was removed as a vehicle type category in the brake response and deceleration rate analysis. Thus, deceleration rates and

TABLE 2 Vehicular Action by Vehicle Type

Vehicle Type	Action			Count	Percentage
	Stopped	Went Through— Entered Before Red	Went Through— Entered After Red (RLR)		
Car	332	298	44	674	52.9
Light truck	236	234	21	491	38.5
Single-unit truck	25	30	9	64	5.0
Tractor trailer	8	24	8	40	3.1
Motorcycle	1	5	0	6	0.5
Total count	602	591	82	1,275	
Total percent	47.2	46.4	6.4		100.0

NOTE: RLR = red light running.

brake response times for 572 first-to-stop vehicles were included in the analysis. Figure 1 displays the cumulative distributions of (a) brake response times and (b) deceleration rates for the aggregated data.

The brake response times ranged from 0.11 to 3.74 s, with a mean and standard deviation of 1.13 and 0.48 s, respectively. The 15th, 50th, and 85th percentile brake response times were 0.73, 1.00, and 1.57 s, respectively. Deceleration rates ranged from 3.83 to 20.12 ft/s<sup>2</sup>, with a mean and standard deviation of 10.13 and 2.86 ft/s<sup>2</sup>, respectively.

The 15th, 50th, and 85th percentile deceleration rates were 7.10, 9.87, and 13.01 ft/s<sup>2</sup>, respectively. The mean values and cumulative distributions for the aggregated brake response time and deceleration rate data were similar to those reported in previous research (5–7). Furthermore, the median brake response time (1.00 s) and deceleration rate (9.87 ft/s<sup>2</sup>) were in agreement with the respective values recommended by ITE for timing of the yellow interval on the basis of elimination of the dilemma zone (16).

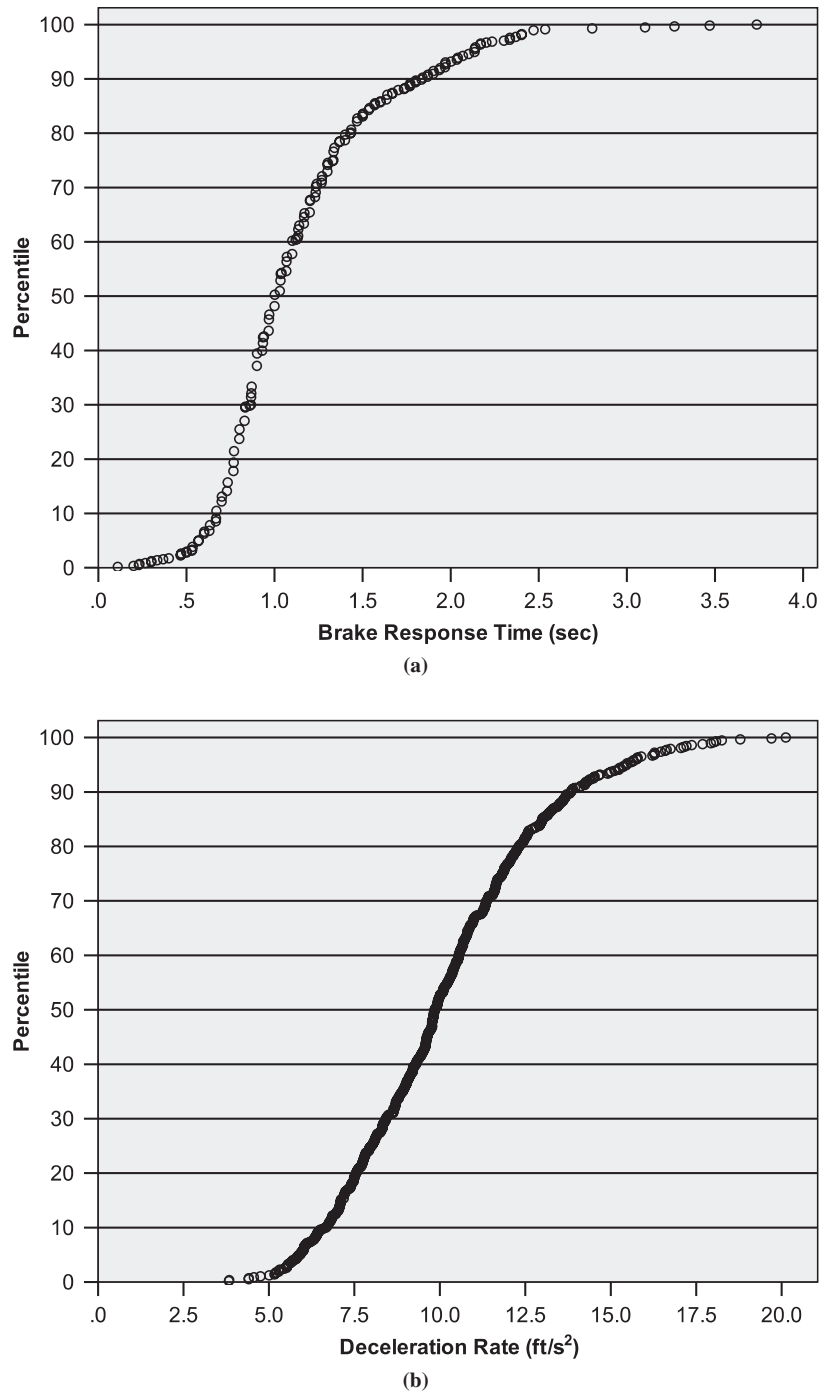


FIGURE 1 Cumulative distributions for (a) brake response time and (b) deceleration rate.

## Analytical Procedures

Three primary analyses were performed using the appropriate statistical procedures. The dependent variables for these analyses included the following (statistical procedure shown in parenthesis):

- Brake response time for first-to-stop vehicles [univariate analysis of variance with covariates (ANOVA)],
- Deceleration rate for first-to-stop vehicles (ANOVA), and
- Likelihood of a red light running event (logistic regression).

### Brake Response Time and Deceleration Rate

For the analyses of brake response times and deceleration rates, the independent variables included

- Continuous independent variables (covariates):
  - Approach speed (mph),
  - Estimated travel time to intersection at the start of yellow (s),
  - Brake response times (s) (deceleration rate analysis only), and
  - Deceleration rates (ft/s<sup>2</sup>) (brake response time analysis only)
- and
- Categorical independent variables:
  - Vehicle type (motorcycle, car, light truck, single-unit truck, tractor trailer),
  - Time of day (peak, off peak), and
  - Platoon (platoon, nonplatoon).

The ANOVA analyses were performed in SPSS Version 17 using the general linear model command (17). Full-factorial analyses were performed, which included the main-factor effects in addition to two-way and three-way interactions of the main effects.

### Red Light Running Likelihood

Stepwise binary logistic regression was used to determine the likelihood of red light running events. Logistic regression is a technique used to predict the probability of an outcome on the basis of values of

a set of predictor variables (continuous or categorical) and is similar to linear regression except that the response variable is categorical rather than numeric. For the analysis of red light running events the independent variables entered into stepwise model included

- Continuous independent variables:
  - Approach speed (mph) and
  - Estimated travel time to intersection at the start of yellow (s) and
- Categorical independent variables:
  - Vehicle type (motorcycle, car, light truck, single-unit truck, tractor trailer),
  - Time of day (peak, off peak), and
  - Platoon (platoon, nonplatoon).

The logistic regression analysis was performed in SPSS Version 17 using the binary logistic regression command (17). The confidence level for a predictor to be removed from the backward stepwise model was 0.10 (0.05 for reentry into the model).

## RESULTS

### Brake Response Times

The brake response time data were analyzed using the analysis of variance statistical technique to determine the effect of the independent variables and interactions of the independent variables on brake response times. Although they were included in the ANOVA model, two-way and three-way interactions were not found to be statistically significant and thus have been excluded from further discussion. Summary results of the statistical analysis along with the relevant descriptive statistics are shown in Table 3.

The full factorial ANOVA model including all interactions (not shown in Table 3) was statistically significant (at 95% confidence) and showed an adequate  $R^2$  value (.528), indicating that the variability in brake response time is partially explained by the factors included in the model. Three of the six main independent variables entered into the stepwise model were found to significantly affect brake response, although none of the categorical variables, including vehicle type, were found to have a significant effect. Each of the covariates, approach

TABLE 3 Brake Response Time Descriptive Statistics and Results of Statistical Analysis

Factor	Level	Count	Mean (s)	SD	Percentiles (s)			ANOVA	
					15th	50th	85th	F-Statistic	p-Value
Vehicle type	Car	315	1.17	0.50	0.77	1.03	1.64	1.729	.160
	Light truck	226	1.08	0.46	0.70	0.97	1.47		
	Single-unit truck	23	1.17	0.50	0.59	1.10	1.65		
	Tractor trailer	8	1.18	0.61	0.57	1.02	2.13		
Time of day	Peak	228	1.17	0.51	0.77	1.07	1.61	1.697	.193
	Off-peak	344	1.11	0.47	0.72	1.00	1.54		
Platoon	Platooned	185	1.14	0.47	0.77	1.03	1.60	.918	.338
	Not platooned	387	1.13	0.49	0.73	1.00	1.57		
Speed			Not applicable					412.4	.000 <sup>a</sup>
Travel time to intersection			Not applicable					528.6	.000 <sup>a</sup>
Deceleration rate			Not applicable					424.6	.000 <sup>a</sup>
Full model <sup>b</sup>	All data	572	1.13	0.48	0.73	1.00	1.57	41.527	.000 <sup>a</sup>

<sup>a</sup>Indicates that the factor was statistically significant at a 95% confidence level.

<sup>b</sup> $R^2 = .528$ . Two-way and three-way factor interactions were included in the analysis, but were excluded from the table, as they were not statistically significant.



speed, travel time to the intersection, and deceleration rate, were found to have similar effects on deceleration rate in regard to magnitude, as indicated by the  $F$ -statistic. Further investigation of the effects of the covariates indicated that brake response time decreased as approach speed increased (i.e., faster drivers reacted more quickly), increased as travel time from the intersection increased (i.e., drivers reacted more slowly when farther from the intersection), and increased as the deceleration rate increased (i.e., drivers reacted more slowly if a greater deceleration rate was subsequently used).

## Deceleration Rates

The deceleration rate data were also analyzed using the analysis of variance statistical technique to determine the effect of the independent variables and interactions of the independent variables on deceleration rates. Although they were included in the ANOVA model, two-way and three-way interactions were not found to be statistically significant and thus have been excluded from further discussion. The summary results of the statistical analysis along with the relevant descriptive statistics are shown in Table 4.

The full factorial ANOVA model including all interactions (not shown in Table 4) was statistically significant (at 95% confidence) and showed a relatively high  $R^2$  value (.815), indicating that most of the variability in deceleration rate is explained by the factors included in the model. Five of the six main independent variables entered into the step-by-step model were found to significantly affect deceleration rate. Whether the subject vehicle was platooned or not was the only factor that was not statistically significant. Of the statistically significant variables, approach speed and travel time to the intersection were found to have the strongest effect on deceleration rate, as indicated by the  $F$ -statistic. Brake response time was also found to have a significant effect on deceleration rate, although this effect was not as strong as speed and travel time. Further investigation of the effects of the covariates indicated that deceleration rate increased as approach speed increased (i.e., faster drivers used greater deceleration), decreased as travel time from the intersection increased (i.e., drivers used lower deceleration when farther from the intersection), and increased as the brake response

time increased (i.e., slower-reacting drivers subsequently used greater deceleration rates). Figure 2 displays two-way scatterplots for (a) deceleration rate and approach speed and (b) deceleration rate and travel time. The correlations of dilemma zone deceleration rates with travel time, approach speed, and brake response time were similar to those found by Chang et al. (5).

## Effect of Vehicle Type

Vehicle type was found to have a statistically significant effect on deceleration rate, although the magnitude of the effect was much smaller than that observed for speed, travel time, and brake response time. The cumulative distribution of deceleration rates by vehicle type is shown in Figure 3. The mean deceleration rates for each vehicle type along with the 95% confidence interval for the means are shown in Figure 4.

Mean deceleration rates were highest for the car and light truck categories, although light truck deceleration rates were slightly, but not statistically significantly, higher than those for cars. The mean deceleration rate for single-unit trucks was significantly lower than that for both cars and light trucks. The mean deceleration rate for tractor trailers was similar to that of single-unit trucks and considerably lower than that for cars and light trucks, but was not statistically different from that for any of the other vehicle types, a result in large part of the small sample size.

## Effect of Time of Day

Time of day was found to have a statistically significant effect on deceleration rate, although the magnitude of the effect was much smaller than that observed for speed, travel time, and brake response time. The mean deceleration rates for peak versus off-peak times along with the 95% confidence interval for the means are shown in Figure 5.

Mean deceleration rates were significantly higher during off-peak times. This was likely a result of dilemma zone drivers being less inclined to stop during peak times, particularly if a relatively high deceleration rate was necessary to stop.

TABLE 4 Deceleration Rate Descriptive Statistics and Results of Statistical Analysis

Factor	Level	Count	Mean (ft/s <sup>2</sup> )	SD (ft/s <sup>2</sup> )	Percentiles (ft/s <sup>2</sup> )			ANOVA	
					15th	50th	85th	$F$ -Statistic	$p$ -Value
Vehicle type	Car	315	10.09	2.95	7.00	9.78	13.12	3.163	.024 <sup>a</sup>
	Light truck	226	10.42	2.72	7.46	10.33	13.29		
	Single-unit truck	23	8.18	2.12	5.91	7.73	10.95		
	Tractor trailer	8	8.59	1.95	6.37	8.54	11.30		
Time of day	Peak	228	9.87	3.03	6.46	9.65	13.20	5.104	.024 <sup>a</sup>
	Off-peak	344	10.30	2.72	7.49	10.01	12.96		
Platoon	Platooned	185	9.86	2.86	6.86	9.68	12.58	2.246	.135
	Not platooned	387	10.25	2.85	7.23	10.00	13.31		
Speed			Not applicable					1,207.9	.000 <sup>a</sup>
Travel time to intersection			Not applicable					1,419.5	.000 <sup>a</sup>
Brake response time			Not applicable					424.6	.000 <sup>a</sup>
Full model <sup>b</sup>	All data	572	10.13	2.86	7.10	9.87	13.01	162.8	.000 <sup>a</sup>

<sup>a</sup>Indicates that the factor was statistically significant at a 95% confidence level.

<sup>b</sup> $R^2 = .815$ . Two-way and three-way factor interactions were included in the analysis, but were excluded from the table, as they were not statistically significant.









